

JOINT INDEPENDENT AUDIT COMMITTEE
1 FEBRUARY 2017

SUBJECT		CC'S DRAFT ANNUAL GOVERNANCE STATEMENT
REPORT BY	DEPUTY CHIEF CONSTABLE & CHIEF FINANCE OFFICER	
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SUMMARY AND PURPOSE OF REPORT		
To review the CC's draft AGS		
RECOMMENDATION	That the Committee considers and notes the attached draft AGS	

A. SUPPORTING INFORMATION

- 1.1 The Chartered Institute for Public Finance and Accountancy (CIPFA) in association with the Society of Local Authority Chief Executives (SOLACE) originally published the '*Delivering Good Governance in Local Government*' Framework in 2007. The framework was reviewed in 2015 and an updated version was published in 2016. This sets the standard for governance in local government in the UK. The Framework is intended to assist local government bodies develop and shape an informed approach to governance and to achieve the highest standards in a measured and proportionate way. The Framework was supplemented by police specific guidance which was also revised by CIPFA in 2016¹.
- 1.2 Agenda item 8 of the February 2017 JIAC reviews the CIPFA Good Governance Guidance for the Police; hence the review of the guidance is not repeated in this paper.
- 1.4.1 A gap analysis is being undertaken to examine the extent to which the Chief Constable complies with the new 2016 principles and elements of good governance. The systems, processes and documents which provide evidence of compliance will be identified and a revised code of corporate governance produced.
- 1.5 A draft Code of Corporate Governance aligned with the 2016 CIPFA framework and guidance will be presented to the April 2017 JIAC meeting.
- 1.6 The 2016 guidance¹ also describes the characteristics of a sound annual review and AGS. Attached to this document at **Appendix A** is a draft version of the Chief Constable's AGS 2016/17.
- 1.6.1 This will be the first time that a draft AGS has been produced for the JIAC at such an early stage in the financial year, it therefore only covers the period from April to the end of December 2016 i.e. only $\frac{3}{4}$ of the year.

¹ CIPFA 'Delivering Good Governance in Local Government' guidance notes for Policing Bodies in England and Wales (2016).

Therefore this draft will contain some gaps in content as information is not yet available, also the conclusions reached and messages relayed in May 2017 in the final AGS could differ from those contained in this draft.

B. FINANCIAL CONSIDERATIONS

There are no direct financial implications.

C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS

There are no direct considerations.

D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES

(including any impact or issues relating to Children and Young People)

There are no direct issues.

E. REVIEW ARRANGEMENTS

Arrangements for the review of governance are described within the code of corporate governance including an annual review of the Code itself.

F. RISK MANAGEMENT

The management of risk is an integral part of the code of governance and the annual governance statement.

G. PUBLIC ACCESS TO INFORMATION

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.