



## Joint Independent Audit Committee

### Wednesday 1 February 2017 at 1.30pm

Conference Room C  
Police Headquarters, Nettleham  
Nr Lincoln LN2 2LT

### Agenda

Suggested timings	Duration (mins)	
		1. Declarations of Personal and/or Prejudicial Interests <i>To remind members of the Committee of the need to record any personal interest in items on the agenda. If a member has a personal interest that is a prejudicial interest, he/she must withdraw from the room during consideration of that item of business.</i>
		2. Apologies
1.30pm	5	3. Minutes of the meeting held on 18 October 2016 <i>To be confirmed as a correct record</i>
1.35pm	5	4. Summary Action Log <i>(to note)</i>
		<b><u>Internal Audit</u></b>
1.40pm	20	5. Internal Audit - Progress Report 2016/17 <i>(to note)</i> <i>Brian Welch, Senior Manager, Mazars</i>
2.00pm	15	6. Internal Audit Reports – Implementation Progress <i>(to note)</i> <i>Gary Knighton, Deputy Chief Constable, Lincolnshire Police</i>
		<b><u>Governance and Standards</u></b>
2.15pm	10	7. Review of Compliance with Governance Arrangements <i>(to review)</i> <i>Aubrey Williams, Research &amp; Performance Officer, OPCC</i>
2.25pm	15	8. Review of CIPFA Good Governance Guidance for the Police and PCC's Draft Annual Governance Statement <i>(to review)</i> <i>Aubrey Williams, Research &amp; Performance Officer, OPCC</i>
2.40pm	10	9. CC's Draft Annual Governance Statement <i>(to review)</i> <i>Gary Knighton, Deputy Chief Constable / Gillian Holder, Deputy Chief Finance Officer PCC/CC</i>
2.50pm	10	10. Review of Scheme of Arrangements including Finance and Contract & Procurement Regulations <i>(to review)</i> <i>Julie Flint, Chief Finance Officer, OPCC / Sharon Clark, Chief Finance Officer, CC</i>
3.00pm	10	11. Update on Implementation of Anti-Fraud and Corruption Working Group (AFCWG) Recommendations <i>(to note)</i> <i>Aubrey Williams, Research &amp; Performance Officer, OPCC</i>

### **External Audit**

- |        |    |     |   |
|--------|----|-----|---|
| 3.10pm | 20 | 12. | External Audit Plan 2016/17 and Associated Fees <i>(to note)</i><br><i>Jon Machej, Assistant Manager, Public Sector Audit, KPMG</i> |
| 3.30pm | 5  | 13. | Appointment of External Auditors for 2018/19 Audit <i>(to review)</i><br><i>Gillian Holder, Deputy Chief Finance Officer PCC/CC</i> |

### **Financial Reporting**

- |        |    |     |   |
|--------|----|-----|---|
| 3.35pm | 10 | 14. | Review of Accounting Policies <i>(to note)</i><br><i>Julie Flint, Chief Finance Officer, OPCC / Sharon Clark, Chief Finance Officer, CC</i> |
| 3.45pm | 10 | 15. | Finance Review <i>(to note)</i><br><i>Julie Flint, Chief Finance Officer, OPCC</i>  |

### **Inspections**

- |        |    |     |  |
|--------|----|-----|--|
| 3.55pm | 10 | 16. | HMIC Areas for Improvement <i>(to note)</i><br><i>Gary Knighton, Deputy Chief Constable, Lincolnshire Police</i> |
|--------|----|-----|--|

### **Risk Management and Assurance**

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|--------|----|-----|--|
| 4.05pm | 10 | 17. | Police and Crime Commissioner's Risk Register <i>(to note)</i><br><i>Aubrey Williams, Research &amp; Performance Officer, OPCC</i>                       |
| 4.15pm | 10 | 18. | Police and Crime Commissioner and Chief Constable's Assurance Map <i>(to review)</i><br><i>Aubrey Williams, Research &amp; Performance Officer, OPCC</i> |

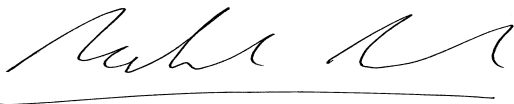
### **Audit Committee Effectiveness**

- |        |   |     |  |
|--------|---|-----|--|
| 4.25pm | 5 | 19. | Audit Committee Forward Plan <i>(to review)</i><br><i>Gillian Holder, Deputy Chief Finance Officer</i> |
|--------|---|-----|--|

### **Exclusion of Press and Public *(See note 2 below)***

### **Risk Management and Assurance**

- |        |    |     |  |
|--------|----|-----|--|
| 4.30pm | 5  | 18. | Chief Constable's Assurance Map – <b>Appendix to Item 18</b> <i>(to review)</i><br><i>Gary Knighton, Deputy Chief Constable, Lincolnshire Police</i> |
| 4.35pm | 10 | 20. | Lincolnshire Police Risk Register <i>(to note)</i><br><i>Gary Knighton, Deputy Chief Constable, Lincolnshire Police</i>                              |
| 4.45pm | 10 | 21. | Feedback on Meeting  |



Malcolm Burch  
Chief Executive to the Police and Crime Commissioner for Lincolnshire  
24 January 2017



Neil Rhodes  
Chief Constable of Lincolnshire Police  
24 January 2017

## **Members of the Joint Independent Audit Committee**

David Finch (Chair)  
John Cawdell  
James Gallagher  
Ian Haldenby  
Douglas Matthew

### **Notes:**

1. **Meetings of the Joint Independent Audit Committee are open to the press and public and all are welcome to attend at the time and address indicated on the front of this agenda.** If you would like further information please contact Sue Cunningham, Support Services Supervisor, Lincolnshire Police Headquarters, Deepdale Lane, Nettleham, Lincoln LN2 2LT. Telephone: (01522) 558144 Email: [susan.cunningham@lincs.pnn.police.uk](mailto:susan.cunningham@lincs.pnn.police.uk)
2. Agenda items 18(Appendix) & 20 have not been circulated to the press and public on the grounds that it contains Official Sensitive information as defined under the Government Security Classifications.