ORGANISATIONAL SUPPORT

NOT PROTECTIVELY MARKED

		OPCC / Force risk registers **RISK SUMMARY**				*Business operations - the front line* **BUSINESS AREAS** First line (more knowledge, less independence)	*Oversight of management activity and assurance functions* **OVERSIGHT OF MANAGEMENT ACTIVITY** Second line (more objective, but not wholly independent)		*Independent assurance / advice* **INDEPENDENT AND OBJECTIVE ASSURANCE** Third line (objective & independent)		**SUMMARY ASSURANCE ASSESSMENT**	
Ref Number	PCP Strategic objective/ priority	Key Risks & Control Issues	Risk RAG	Status	Owner	Management Controls	Corporate Reporting	Compliance reviews	Internal Audit	External Agency	OVERALL RATING (RAG)	CURRENT KEY ACTIVITY & RATIONALE FOR OVERAL GRADING
						How well are objectives being met? Routine system / operational control & Assurance - Business plans / Delivery plans, local control measures & monitoring Local risk register	**Are key strategic objectives being delivered?** EXAMPLES Financial reporting Oversight boards / Project /programme reporting / PCSB / governance meetings eg resource governance Policy / Strategy in place - progress against them?	Information Assurance, regulatory compliance (inc. sys accreditation) Portfolio Mtg/Reviews Satisfaction / Complaints Handling	Type / Opinion	HMIC / Inspectorate		
ORGANISATIONAL SUPPORT (Business support / Governance / Project management / Change management)												
ST-76	**Listening, responsive & accountable**	Failure to implement HMIC recommendations within the advised timescale		⇔	CC	Integrated Support Strategy 2017 - 2020 incorporating draft delivery plan presented to PCSB March 2017. Will be complete in place by end April 2017. Force change manual is in place since **Feb 2017** which details how organisational change should be conducted / administered including benefits measurement and realisation.. Formal project management processes in place (Prince II).	Integrated Support Strategy 2017 - 2020 incorporating draft delivery plan presented to PCSB March 2017. Progress against HMIC "recommendations" and "areas for improvement" (AFI) is routinely reported at Police and Crime Strategic Board (PCSB) on a quarterly basis. - the Majority of actions are upto date however a few outstanding issues regarding accuracy of recording progress,will need to be resolved in the next report due at PCSB in **May 2017**. G4S strategies presented to PCSB Feb 2017 - plus delivery plan in draft format. - still to be developed fiully.	**See Internal audit** result for complaints management in adjacent segment.	**Complaints handling - Augsut 2016 Audit opinion = GREEN Satisfactory Assurance** *"The OPCC maintains oversight of the complaints management process within the Force. Quarterly meetings are held where Force complaint statistics are reviewed and scrutinised at the Professional Standards Governance meeting.* *Between 1 April 2015 and 30 March 2016, the Force finalised a total of 559 complaints cases. The status of these complaints are as follows:* *· Local Resolution – 258* *· Other Incl Disapplication, discontinued – 15* *· Withdrawn – 64* *· Upheld - 41* *· Not Upheld – 181"*	**2016 HMIC report** •The extent to which the force is effective at keeping people safe and reducing crime - "Good" •The extent to which the force is efficient at keeping people safe and reducing crime "Good" •The extent to which the force is legitimate at keeping people safe and reducing crime is "Good"		
ST-79	**Policing that works**	Failure to complete transition to the Emergency Services Network (early product of the ESMCP)		⇔	CC							
ST-81	**TBC**	Failure to achieve the objectives of the Blue Light Programme		⇔	CC							
C035	**TBC**	Failure to deliver objectives of 'Blue light' Programme		⬆	PCC							
C06	**TBC**	Lack of resilience in OPCC		⇔	PCC							
		RISK SUMMARY **Two of the three Risks in this area are stable and all have developing mitigation activity in place. Delivery of the Blue light programme has an increasing risk profile on the OPCC register primarily due to the need for enhanced monitoring and management of project costs. Work is ongoing to address these concerns through closer scrutiny, a revised governance structure and recruitment to specialist project financial roles.**										

ACO - Assistant Chief Officer
AF&C - Anti fraud and corruption
BWV - Body Worn Video
ACC - Assistant Chief Constable
CC - Chief Constable
CE - Chief Executive
CFO - Chief Finance Officer
CMB - Crime Management Bureau
CSE - Child Sexual Exploitation
DA - Domestic Abuse
DCC - Deputy Chief Constable
DHR - Domestic Homicide Review
EM - East Midlands
FCFO - Force Chief Finance Officer
IMM - Internal Management Meeting
LASB - Lincolnshire Adult Safeguarding Board
LCSB - Lincolnshire Childrens Safeguarding Board
LRF - Lincolnshire Resilience Forum
NCRS - National Crime Recording Standards
OCG - Organised Crime Group
P&S - Planning and Strategy meeting
PPU - Public Protection Unit
PSD - Professional Standards Dept
PTP - Policing Transformation Programme
PVP - Protecting Vulnerable People
RM - Risk Management
SCR - Serious Case Review
SLT - Senior Leadership Team
SMT - Senior Management Team
SPOC - Single Point of contact
STRA - Strategic Threat and Risk Assessment
TM - Treasury Management
ToR - Terms of reference
VfM - Value for Money
YOS - Youth Offending Service

Level of Assurance	1st and 2nd lines of defence (process based assessment)	3rd line of defence & Quality and Inspection (results based assessment)	Overall Assessment RAG rating on the effectiveness of controls from assurance work undertaken
Green	**HIGH** - Controls in place assessed as adequate/effective and in proportion to the risks	**HIGH** - Mainly positive results, many strengths, minor recommendations for improvement	**HIGH –** Controls are in place assessed as adequate/effective and in proportion to the risks
Amber	**MEDIUM** - Some areas of concern over the adequacy/effectiveness of the controls in proportion to the risks	**MEDIUM -** Mixed results, some areas of concern and areas for improvement, some positive results and some strengths	**MEDIUM** – Some areas of concern over the adequacy/ effectiveness of the controls in place in proportion to the risks
Red	**LOW -** Significant concerns over the adequacy/effectiveness of the controls in proportion to the risks	**LOW -** Overall, negative results and areas of concern/requiring action and improvement	**LOW –** Significant concerns over the adequacy/effectiveness of the controls in place in proportion to the risk.
Grey	Not applicable or not relevant. Additional notes added for information.	Not applicable or not relevant. Additional notes added for information.	Insufficient information at present to judge the adequacy/effectiveness of controls.