JOINT INDEPENDENT AUDIT COMMITTEE
5 April 2017

SUBJECT	**PCC RISK REGISTER**
REPORT BY	**CHIEF EXECUTIVE & CHIEF FINANCE OFFICER**
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SUMMARY AND PURPOSE OF REPORT To consider the Police and Crime Commissioner's Risk Register.	
RECOMMENDATION	**That the Committee notes the PCC's Risk Register.**

A. SUPPORTING INFORMATION

1. PCC's Risk Register

1.1 The top strategic risks that would prevent or distract the Commissioner from achieving his goals are recorded in the PCC Risk Register. The risks have been identified based on the PCC's objectives that are stated in the Police and Crime Plan 2013 – 17.

1.2 The PCC's Chief Finance Officer (CFO) and Research and Performance Officer (RPO) attend the Force's Confidential Risk Management Board and Force Risk Management Board respectively. This ensures that the Force's register and the PCC's register are aligned where appropriate. In addition the Force Risk Policy & Review Officer and the Research and Performance Officer meet on a monthly basis to discuss issues arising from both registers (and the Assurance Map).

1.3 The PCC's risk register is reviewed every other month (alternating with the Assurance Map) as part of the standing agenda for the OPCC Internal Management Meeting (IMM). The current register (V7.12) records 6 risks in total, with 5 rated as critical, scoring Red 12 and above and 1 non-critical, scoring AMBER 9 and below.

1.4 The key developments to note since the Committee's February 2017 meeting are as follows:

PCC Risk Ref C06 - ***Lack of resilience in the Office of the Police and Crime commissioner.*** The scoring of this risk has been reviewed and has remained at Red 12. Although mitigating activity continues, with planned changes being implemented:

- Contract for external communications and media services support for the PCC has been in place for 3 months.
- Two Research and Policy Interns have also been in post for 3 months
- A clerical apprentice has also been in post since the 16 January 2017

In addition, there are a number of further controls still in development including:

- Exploring the creation of a specific 'digital engagement' role (part time) within the OPCC.
- Exploring the potential for oversight of current commissioning, by the Commercial Partnership Team (CPT).

However, ongoing concerns exist around the impact of new emerging areas of work and the capacity of existing arrangements to service these needs appropriately.

PCC Risk Ref C036 – ***Failure to deliver the objectives of the Tri-service "Blue Light" programme.*** This risk score has remained at Red 16. This reflects the ongoing concerns the OPCC has around effective project governance including:

- Ongoing management & monitoring of project costs – The proposed appointment of a specialist project financial role is unresolved.

- Some mitigation relating to governance and oversight of the programme is now in place as the PCC is personally involved in closer monitoring of the progress of the programme of works.

1.5 The PCC's risk register V7.12 is attached at **Appendix 1**.

1.6 A risk management review meeting involving the management team within the OPCC has been scheduled for mid-April 2017. The purpose of the meeting will be to refresh the content of the PCC's risk register, to discuss the approach taken to its administration (including consideration of the new approach to Assurance Mapping) and to assess the implications and identification of risks arising from the new strategic priorities and objectives set out in the Police and Crime Plan 2017-20.

B. FINANCIAL CONSIDERATIONS

There are resource implications related to some of the mitigating controls. These are managed by the Chief Executive and the Chief Finance Officer.

C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS

Any relevant legal and human rights related risks are included in the PCC's risk register.

D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC's risk register.

E. REVIEW ARRANGEMENTS

The Risk Register will be reviewed by the Joint Independent Audit Committee on a quarterly basis. The Risk Management Strategy will be reviewed on an annual basis and provide linkages to the Annual Governance Statement. The Assurance map will be reviewed by the Committee as required.

F. RISK MANAGEMENT

Risk governance is an integral part of the Joint Independent Audit Committee's remit. It is reflected on the Forward Plan accordingly.

G. PUBLIC ACCESS TO INFORMATION

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.