

JOINT INDEPENDENT AUDIT COMMITTEE

SUMMARY ACTION LOG – 31 OCTOBER 2017

Ref	Meeting Date	Minute No	Resolution	Actioned by	Progress	Updated
170	18/10/2016	25/16	Minutes of Committee meetings be drafted and circulated within 10 working days, where possible.	CCPA/CAO	To remain on the action log until this has been complied with.	01/02/2017
202	05/04/2017	02/17	Briefing paper be prepared and circulated to Members in advance of the next ordinary meeting to clarify how police staff and officers (including those on secondment) were made aware of fraud and how it could be reported	DCC	Briefing paper attached.	20/10/2017
210	05/04/2017	05/17	The Committee's suggestion that seized cash be weighed to ascertain its value be raised in response to Recs 4.2 and 4.4 Seized and Found Property (Sept 2016) audit.	DCFO	Suggestion made to CPT Manager, Cash Seizure Policy is being reviewed. Email on the outcome of the review circulated to members 26/07/17. In minute 23/17 of July 2017 meeting the DCC was clear that the suggestion would not be adopted as it presented too high a risk. CLOSED.	20/10/2017
222	05/04/2017	12/17	The AFI matrix includes completion dates.	DCC	Ongoing. Completion dates will be added to all recommendations and AFIs that are closed in the future. We are unable to retrospectively add these dates to recommendations and AFIs that have already been closed.	18/07/2017

					To be evidenced in October's JIAC paper.	
224	05/04/2017	14/17	Consideration be given to providing a short preamble for each thematic.	RPO	RPO to seek clarification of request at JIAC meeting on 27th July. Preamble being prepared for each Thematic entry – draft copy to be sent to Ian Haldenby for feedback prior to inclusion in map (work in progress).	18/10/2017
227	27/07/2017	21/17	The AFI Matrix include proposed completion dates for outstanding actions.	DCC	See action 222.	20/10/2017
228	27/07/2017	22/17	The Committee be provided with details of lead officers for Regional Collaboration and the budget contribution made by each force in the East Midlands.	DCC	Paper being submitted by Force CFO.	20/10/2017
229	27/07/2017	25/17	The wording of the Professional Standards Reporting Policy PD 48 on page 1 of the joint Anti-Fraud and Corruption Policy be amended to include “(Whistleblowing)”.	RPO	To be completed along with other amendments identified as part of routine policy review. (Target – for completion by end Nov 2017).	18/10/2017
230	27/07/2017	25/17	The wording of the final paragraph on page 9 of the joint Anti-Fraud and Corruption policy be clarified.	RPO	To be completed along with other amendments identified as part of routine policy review. (Target – for completion by end Nov 2017).	18/10/2017
231	27/07/2017	25/17	Issues highlighted by the Committee in respect of the terms of reference for the Anti-Fraud and Corruption meeting be considered as part of the next periodic review.	RPO	Placed on the agenda for the next quarterly AF&C meeting (6 December 2017).	18/10/2017

232	27/07/2017	28/17	The Committee receive a report at the 24 January 2018 meeting on the approach that will be taken to reporting the Accounts in the PCC's Annual Report to the PCP.	CFO	To be progressed.	20/10/2017
233	27/07/2017	31/17	Comments from Members in relation to controlling risks be made more explicit in the Risk Management Strategies.	CFO/RPO	Meeting placed in diary during November 2017 (CFO/RPO) to discuss appropriate action required.	18/10/2017
234	27/07/2017	32/17	Consideration be given as to what further opportunities and benefits might be derived from regional collaboration.	CFO	Regional PCCs and CCs have agreed to a workshop in May 2018 to consider this. CLOSED	20/10/2017
235	27/07/2017	33/17	The joint Assurance Map be updated in accordance with comments made by the Chairman and Members of the Committee.	RPO	Updates made to the Assurance map V7.16 - in accordance with comments made by members.	18/10/2017
236	27/07/2017	34/17	Section 1 [of the Forward Plan] be updated to include the workshop session on JIAC Effectiveness scheduled for 12 September 2017.	DCFO	Complete, see agenda item 15.	20/10/2017
237	27/07/2017	36/17	A briefing paper be prepared for the next meeting of the Committee to address issues and concerns raised by Members following consideration of the Operation Argo report on the 5 April 2017.	DCC	Action to be closed following meeting with JIAC and PCC/CC.	20/10/2017
238	27/07/2017	36/17	The T/DCC be furnished with a copy of the report [on Op Argo] prepared by James Gallagher on behalf of the Committee.	DCFO	DCFO sent to the DCC on 28/07/17 Complete.	20/10/2017

Key

DCC - Deputy Chief Constable
FCFO - Force Chief Finance Officer
FFO - Force Finance Officer
RPRO - Risk Policy Review Officer

CFO - Chief Finance Officer
CAO - Corporate Administration Officer
RPO - Research and Performance Officer
CIM - Continuous Improvement Manager

DCFO - Deputy Chief Finance Officer
CCPA - Chief Constable's Personal Assistant