

JOINT INDEPENDENT AUDIT COMMITTEE
31 October 2017

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SUBJECT		POLICE AND CRIME COMMISSIONER AND CHIEF CONSTABLE'S ASSURANCE MAP	
REPORT BY		CHIEF EXECUTIVE & CHIEF FINANCE OFFICER (CFO) CHIEF CONSTABLE & FORCE CHIEF FINANCE OFFICER (FCFO)	
CONTACT OFFICER		AUBREY WILLIAMS, PERFORMANCE OFFICER TEL: 01522 9 47230	RESEARCH AND
SUMMARY AND PURPOSE OF REPORT To review development of the Police and Crime Commissioner and Chief Constable's Joint Assurance Map.			
RECOMMENDATION		<i>That the Committee review the development of the Joint Assurance Map.</i>	

A. SUPPORTING INFORMATION

- 1) At the time of writing the next scheduled Joint Assessment Review (JAR) meeting between the T/ Deputy Chief Constable and the Chief Executive of the OPCC is due to take place on 18th October. Discussion of the overall rating of assurance levels will take place with a view to reaching agreement for each theme / business area in the map. (The overall RAG ratings appearing in the version of the map attached to this report are yet to be ratified).
- 2) On the 5th September 2017 "Factual accuracy" checks completed by the heads of department and Lead officers responsible for each of the themes were applied to the map. These confirmed its contents as factually accurate, thereby allowing the map to have its 'draft' status removed.
- 3) The Assurance Map made its first appearance at the force Risk Management Board (RMB) on 27 September 2017. The RPO explained to the Board the intent behind it now being routinely included on the agenda – to allow for moderation of the risk summaries it contains and as an additional means of attendees providing current information to help in updating the Map. At this RMB meeting the DCC requested a further 'factual accuracy' check be conducted by the thematic leads (reporting back to the FRPRO) to provide additional assurance that the map content is both current and accurate, in preparation for the next scheduled JAR meeting when overall RAG ratings will be discussed (on 18th October 2017).
- 4) The Assurance map is now a regular item on the Finance team meeting agenda where OPCC, Force / G4S representatives attend. The finance related content of the map is discussed and any relevant updates will be reported to the RPO for inclusion in the map.

- 5) The FRPRO and RPO continue to meet monthly to discuss the continuous updating of the map and its overall development. Future key updates will include the latest HMICFRS PEEL inspection reports / commentary and gradings for 2017. These are due for publication by HMICFRS in November 2017.
- 6) The Assurance Map (Version 1.7) is **attached at Appendix A to this report.**

B. FINANCIAL CONSIDERATIONS

There are resource implications related to some of the mitigating controls. These are managed by the Chief Executive, Chief Finance Officer and Force Chief Officer Group.

C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS

Any relevant legal and human rights related risks are included in the PCC/CC's risk register.

D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC/CC's risk register.

E. REVIEW ARRANGEMENTS

The Risk Register and assurance map will be reviewed by the Joint Independent Audit Committee on a quarterly basis.

F. RISK MANAGEMENT

Risk management and assurance is an integral part of the Joint Independent Audit Committee's remit. It is reflected on the Forward Plan accordingly.

G. PUBLIC ACCESS TO INFORMATION

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.