Agenda Item No

16

JOINT INDEPENDENT AUDIT COMMITTEE
27 JULY 2017

SUBJECT	**POLICE AND CRIME COMMISSIONER AND CHIEF CONSTABLE'S ASSURANCE MAP**
REPORT BY	**CHIEF EXECUTIVE & CHIEF FINANCE OFFICER (CFO)** **CHIEF CONSTABLE & FORCE CHIEF FINANCE OFFICER (FCFO)**
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SUMMARY AND PURPOSE OF REPORT To review development of the Police and Crime Commissioner and Chief Constable's Joint Assurance Map.	
RECOMMENDATION	***That the Committee review the development of the Joint Assurance Map.***

A. SUPPORTING INFORMATION

1) On 14 July 2017 at the most recent quarterly Joint Assurance Review (JAR) meeting, held between the T/DCC and CE and RPO key points were discussed relating to the ongoing development of the Assurance Map (Draft V1.3) and these included:

   a) It was confirmed that the assurance map should appear in the public section of the JIAC meeting and there would no longer be a need to create an "access restricted" version for discussion following the exclusion of the public from the meeting.
   b) A further JAR meeting will be scheduled shortly (date to be confirmed) where the aim would be to reach agreement on assurance ratings for each of the themed entries. With the current chief officer team being relatively new in post it was agreed that sufficient time should be allowed for the T/DCC to fully consider all the information and sources contained in the draft map, before being able to accurately apportion assurance levels jointly with the CE.
   c) In the interim, development of the new "themed Map" will continue and the planned "factual accuracy" checks would be conducted with all of the heads of department and Lead officers who originally provided information and sources for the map. Each will be given the opportunity to review the draft content for their section of the map to confirm its accuracy / or identify any errors. This will be done in advance of the next JAR meeting so that once assurance levels have been agreed between the CE and T/DCC the map can formally be adopted.

2) The DRAFT Assurance Map (Version 1.3) is **attached at Appendix A to this report.**

3) The “thematic” assurance map will be presented to the next Force Risk Management Board (scheduled for 27 September 2017) along with an explanation of their proposed role in moderating the risk summaries it contains, and its content.

4) Consideration will be given to any changes required in existing procedures / strategies relating to the risk registers and the joint assurance map and any terms of reference for formal meetings affected by the “thematic” approach.

B. FINANCIAL CONSIDERATIONS

There are resource implications related to some of the mitigating controls. These are managed by the Chief Executive, Chief Finance Officer and Force Chief Officer Group.

C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS

Any relevant legal and human rights related risks are included in the PCC/CC’s risk register.

D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC/CC’s risk register.

E. REVIEW ARRANGEMENTS

The Risk Register and assurance map will be reviewed by the Joint Independent Audit Committee on a quarterly basis.

F. RISK MANAGEMENT

Risk management and assurance is an integral part of the Joint Independent Audit Committee’s remit. It is reflected on the Forward Plan accordingly.

G. PUBLIC ACCESS TO INFORMATION

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.