Agenda Item No

14

JOINT INDEPENDENT AUDIT COMMITTEE
27 July 2017

SUBJECT	**RISK MANAGEMENT STRATEGIES**
REPORT BY	**CHIEF EXECUTIVE & CHIEF FINANCE OFFICER**
CONTACT OFFICER	**JULIE FLINT, CHIEF FINANCE OFFICER** **TEL: 01522 947226**
SUMMARY AND PURPOSE OF REPORT To review the latest copies of the risk management strategies for both the Police and Crime Commissioner and the Force.	
RECOMMENDATION	**That the Committee reviews both risk management strategies.**

A. SUPPORTING INFORMATION

1. Risk Management Strategies

1.1 The JIAC is invited to review the Force's Risk Management Strategy which is attached as **appendix A** to this report. The strategy was updated in light of comments received at the JIAC meeting on 27 July 2016. No additional updates have been made.

1.2 The JIAC is invited to review the PCC's Risk Management Strategy V4.0 which is attached as **appendix B** to this report. The strategy has undergone some minor changes:

- To reflect the updated CIPFA Good Governance Framework / principals – and its associated guidance for policing bodies (2016).
- To remove references to the risk management responsibilities of the Deputy Chief Executive post which has been disestablished.
- To accommodate the new style OPCC logo.

Please note that research is currently being conducted by the RPO into any updated guidance available in this area of business, to ensure the strategy reflects the latest applicable legislation and 'best practice' advice. This specifically includes the sources and documents referenced within the strategy (at the bottom of each page numbered 1 – 13). This along with any comment from the JIAC's review will inform subsequent updates. All resultant changes will be recorded in the version control table within the revised strategy document.

B. FINANCIAL CONSIDERATIONS

There are resource implications related to some of the risk control measures that arise from the implementation of these strategies. These are managed by the appropriate Chief Officers in each organisation.

C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS

Any relevant legal and human rights related risks are included in the PCC's risk register.

D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC's and Force's risk registers.

E. REVIEW ARRANGEMENTS

The Risk Management Strategies for both the PCC and the Force will be reviewed by JIAC on an annual basis.

F. RISK MANAGEMENT

Risk governance is an integral part of the Joint Independent Audit Committee's remit. It is reflected on the Forward Plan accordingly.

G. PUBLIC ACCESS TO INFORMATION

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.