Agenda Item No

11

JOINT INDEPENDENT AUDIT COMMITTEE
09 July 2019

SUBJECT	**POLICE AND CRIME COMMISSIONER AND CHIEF CONSTABLE'S ASSURANCE MAP**
REPORT BY	**CHIEF EXECUTIVE & CHIEF FINANCE OFFICER (CFO)** **CHIEF CONSTABLE & FORCE CHIEF FINANCE OFFICER (FCFO)**
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SUMMARY AND PURPOSE OF REPORT To review the Police and Crime Commissioner and Chief Constable's Joint Assurance Map.	
RECOMMENDATION	***That the Committee review the Joint Assurance Map.***

A. SUPPORTING INFORMATION

1) The most recent quarterly Joint Assessment Review (JAR) meeting between the Deputy Chief Constable (DCC) and the Chief Executive of the OPCC (CEX) took place on the 14th of January 2019. At this meeting the general content of the map was discussed however, no decisions were reached regarding the updating of RAG ratings. Therefore the RAG ratings appearing in the assurance map (Appendix A version 1.9.14 June 2019) remain unchanged from those recorded at the October 2018 joint review meeting.

2) The JAR meetings scheduled for the 1st April and the 20th June 2019 were cancelled as senior management were unable to attend.

3) The FRRO and RPO routinely meet to discuss risk issues arising. The RPO updates the joint assurance map with the latest risks, HMICFRS inspections and internal audit results.

4) Changes to the joint assurance map made since the April 2019 report to JIAC are recorded in the change log.

5) Changes to the governance meetings structure have been agreed (PCSB April 2019) Therefore some of the sources of assurance relating to corporate reporting (2nd line of defence) have been highlighted in grey text within the joint assurance map until such time as the new reporting processes have been fully implemented / finalised.

6) At the Force Risk Management Board held on the 5th June 2019 the DCC / FPRRO presented the latest iteration of the force's new assurance map, the development of which had been previously approved by the chief officer group in April 2019.

7) Draft versions of the force's new assurance map have been presented at the force Risk Management Boards in March and June.2019 replacing discussion on the current joint assurance map. (Note: The force's new assurance map is appended to Item 14 on the agenda).

8) The force's new assurance map has been placed on the agenda for discussion at the next Joint Assessment Review (JAR) meeting scheduled for 16 October 2019. It is hoped that agreement can be reached on the continued use of a joint assurance map that meets the needs of both organisations.

B. FINANCIAL CONSIDERATIONS

There are resource implications related to some of the mitigating controls. These are managed by the Chief Executive, Chief Finance Officer and Force Chief Officer Group.

C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS

Any relevant legal and human rights related risks are included in the PCC/CC's risk register.

D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC/CC's risk register.

E. REVIEW ARRANGEMENTS

The Risk Register and assurance map will be reviewed by the Joint Independent Audit Committee on a quarterly basis.

F. RISK MANAGEMENT

Risk management and assurance is an integral part of the Joint Independent Audit Committee's remit. It is reflected on the Forward Plan accordingly.

G. PUBLIC ACCESS TO INFORMATION

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.