Agenda Item No

10

JOINT INDEPENDENT AUDIT COMMITTEE
09 July 2019

SUBJECT	**PCC RISK REGISTER & CC/PCC RISK MANAGEMENT STRATEGIES**
REPORT BY	**CHIEF EXECUTIVE & CHIEF FINANCE OFFICER**
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SUMMARY AND PURPOSE OF REPORT To review the PCC's Risk Register.	
RECOMMENDATION	**That the Committee reviews the PCC's Risk Register.**

A. SUPPORTING INFORMATION

1. The top strategic risks that would prevent or distract the Commissioner from achieving his goals are recorded in the PCC Risk Register. The risks have been identified based on the PCC's objectives that are stated in his Community Safety, Policing and Criminal Justice Plan April 2017 – March 2021.

2. The PCC's Chief Finance Officer (CFO) and Research and Performance Officer (RPO) attend the Force's Confidential Risk Management Board and Force Risk Management Board respectively. This ensures that the Force's register and the PCC's register are aligned where appropriate. In addition the Force Risk Policy & Review Officer and the Research and Performance Officer meet on a monthly basis to discuss issues arising from both registers (and the joint Assurance Map).

3. The PCC's risk register is reviewed every other month (alternating with the Assurance Map) as part of the standing agenda for the OPCC Management Meeting. The current register (V7.28) records 16 risks in total, with 6 currently rated as critical, scoring RED 12 and above and 10 non-critical, scoring AMBER 9 and below.

4. Two risks have had their scores reduced since the previous review by JIAC in April 2019. Both have moved to a non-critical AMBER rating from a RED critical rating. These are "Failure to deliver objectives of the Tri-service Blue Light Programme" and "Failure to contain expenditure within available resources".

5. The PCC's risk register V7.28 May 2019 is attached at **Appendix A**.

6. Key updates to the commissioners risk register since the April 2019 JIAC meeting are summarised below:

   6.1. **Risk C046 – "Failure to contain expenditure within available resources"**
      6.1.1. A balanced budget has been approved for 2019/20, with precept maximised and £3.2m of savings included to bridge the gap.
      6.1.2. MTFP has budget gaps identified; with high level plan to deliver savings.
      6.1.3. Home Office award £1.8m special grant subject to HMICFRS inspection of claim - Areas for investment of special grant identified.

   6.2. **Risk C036 – "Failure to deliver objectives of Tri-service Blue Light programme."** Risk score reduced to Amber 8 – (Risk has achieved its target score).
      6.2.1. Major milestone of programme reached with delivery of Tri-service campus imminent.
      6.2.2. Discussions between tri-services over potential closer operational collaboration are ongoing.
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7. All updates to the PCC's risk register are recorded in its change log on pages 9 to 12.

B. FINANCIAL CONSIDERATIONS

There are resource implications related to some of the risk control measures that arise from the implementation of these activities. These are managed by the appropriate Chief Officers in each organisation.

C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS

Any relevant legal and human rights related risks are included in the PCC's risk register.

D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC's and Force's risk registers.

E. REVIEW ARRANGEMENTS

The Risk Management Strategies for both the PCC and the Force will be reviewed by JIAC on an annual basis.

F. RISK MANAGEMENT

Risk governance is an integral part of the Joint Independent Audit Committee's remit. It is reflected on the Forward Plan accordingly.

G. PUBLIC ACCESS TO INFORMATION

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.