Agenda Item No

13

JOINT INDEPENDENT AUDIT COMMITTEE
19 November 2019

SUBJECT	**POLICE AND CRIME COMMISSIONER AND CHIEF CONSTABLE'S ASSURANCE MAP**
REPORT BY	**CHIEF EXECUTIVE & CHIEF FINANCE OFFICER (CFO)** **CHIEF CONSTABLE & FORCE CHIEF FINANCE OFFICER (FCFO)**
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SUMMARY AND PURPOSE OF REPORT To review the Police and Crime Commissioner and Chief Constable's Joint Assurance Map.	
RECOMMENDATION	***That the Committee review the Joint Assurance Map.***

A. SUPPORTING INFORMATION

1) On the 21st July 2019 a meeting between representatives of the force and the OPCC (DCC, FCFO, FPRRO and RPO) took place at which the purpose and content of both organisations individual assurance maps was discussed. At this meeting it was agreed that the approach of having separate maps should be ended and that both organisations should revert to the practice of having a single joint assurance map. The FRPRO and RPO were tasked with designing a new map incorporating key elements from both the existing maps in a single framework. The meeting re-affirmed that one of the primary purposes of the map is to highlight potential weaknesses / gaps in assurance and key issues arising, to allow senior management to drive appropriate activity.

2) The revised joint map is to incorporate a 'dashboard' style summary sheet that will help provide a 'snapshot' of the current assurance position. The body of the map will contain the underlying detail highlighting sources of assurance information and detail their links to strategy, delivery and corporate reporting processes.

3) The most recent quarterly Joint Assessment Review (JAR) meeting between the then Deputy Chief Constable (C Naylor)) and the Chief Executive of the OPCC took place on the 14th of January 2019 at which RAG ratings were not updated and each subsequent JAR meeting was cancelled due to the availability of senior attendees. Therefore the RAG ratings appearing in the assurance map at Appendix A version 1.9.15 Nov 2019 remain unchanged from those recorded at the October 2018 joint review meeting. In addition, there is currently (Oct 2019), a suite of new corporate planning events scheduled. These aim to re-visit strategic priorities, objectives and activities within the 'Distinctively Lincolnshire' plan as well as the process of corporate planning itself. As a consequence of these combined circumstances the RAG ratings appearing in the Map have been

temporarily suspended and any affected sources of assurance have been greyed out – Pending confirmation of any new or revised assurance sources / processes.

4) As part of the joint assurance map re-design the FRPRO and RPO will conduct brief meetings with force leads for each of the organisational functions to confirm up to date sources of assurance including links to the corporate planning cycle and reporting mechanisms / processes

5) The risk ratings contained within the map are correct as at the September 2019 force Risk Management Board and the October 2019 OPCC management meeting.

6) Changes to the content of the joint assurance map made since the July 2019 report to JIAC are detailed in the change log.

7) The next Joint Assessment Review (JAR) meeting is scheduled for 15 January 2020. The aim is to have a draft of the revised joint assurance map ready for discussion at this meeting.

B. FINANCIAL CONSIDERATIONS

There are resource implications related to some of the mitigating controls. These are managed by the Chief Executive, Chief Finance Officer and Force Chief Officer Group.

C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS

Any relevant legal and human rights related risks are included in the PCC/CC's risk register.

D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC/CC's risk register.

E. REVIEW ARRANGEMENTS

The Risk Register and assurance map will be reviewed by the Joint Independent Audit Committee on a quarterly basis.

F. RISK MANAGEMENT

Risk management and assurance is an integral part of the Joint Independent Audit Committee's remit. It is reflected on the Forward Plan accordingly.

G. PUBLIC ACCESS TO INFORMATION

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.