JOINT INDEPENDENT AUDIT COMMITTEE

SUMMARY ACTION LOG – 10 JUNE 2020

Ref	Meeting Date	Minute No	Resolution	Actioned by	Progress	Updated
245	31/10/2017	52/17	linkages are made between the Assurance Map and the force Strategic Plan	RPO	Force operational delivery plans are under construction - RPO awaiting completion to make linkages with the Assurance Map in order to provide assurance on the progress made against meeting strategic objectives. – Receipt of operational plans from the force scheduled for end December 2018 (DCC response to action 253 refers).	16/10/2018
					The completion of delivery plans by the force has been extended to April 2019 – with the reporting of progress against strategy to appropriate management boards expected by July 2019. (Note: Force intends to develop a new version of the Assurance Map – separate from the current version).	02/04/2019
					New Corporate Planning process underway Oct 2019 – Force now committed to creating a re-structured assurance map jointly with the OPCC – work commenced October 2019 but is reliant on capturing revised assurance evidence from new processes / delivery plans.	01/10/2019

4

					Revised Assurance map template and draft implementation plan prepared for presentation to JIAC on 10 June. Awaiting approval by DCC for plan to commence.	27/05/2020
293	09/07/2019	25/19	Once finalised, the external review report into the Scheme of Governance be presented to the Committee.	CFO/FCFO	A Governance Working Group has been convened, chaired by the JIAC Chair, to review the Scheme of Governance and provide advice to the PCC and CC.	08/11/2019
299	09/07/2019	33/19	Members receive a summary of the finalised report produced by the Independent Crime Recording Confidence Panel, when available.	CAO	Link to the report circulated to Members. (https://lincolnshire-pcc.gov.uk/media/2514/independent-crime-recording-confidence-panel-report.pdf)	21/05/2020
304	19/11/2019 27/01/2020	38/19 55/19	The Committee receive a separate briefing session on Regional Collaborative issues. The Committee receive a briefing session on Regional Collaborative issues on 22 May 2020.	CFO/DCC DCC/RCM	A briefing session for the Committee will be progressed. The JIAC meeting scheduled for 22 May 2020 was cancelled and the next meeting on 10 June 2020 will be held via video conference. Members will therefore be canvassed for a suitable date on which to receive the presentation.	16/01/2020 01/06/2020
308	19/11/2019	42/19	The External Auditor share with the Committee his responses to both the Redmond review and the draft Code of Audit Practice.	EA	Response: 'EY will share with the JIAC our response to the Redmond and Code of Audit Practice consultations. EY submitted its detailed responses to both consultations before 20th December. We are also finalising our response to the recent discussion papers CIPFA have issued on the future financial	16/01/2020

					reporting framework for public sector bodies. We will be producing a summary brochure for Audit Committees that sets out our point of view and to support the conversations your Engagement Lead, Helen Henshaw, will be having with the CFOs and JIAC throughout the 19-20 audit. We will circulate this brochure to the CFOs when it is available (expected by early March 2020) and thereafter circulate to members of the JIAC. We will present this document to the next scheduled JIAC meeting if it is available. If it not yet available, Neil Harris who led the responses will be in attendance at the next JIAC and will be able to update JIAC members on our key messages.'	
319	27/01/2020	58/19	Consideration be given to refreshing some of the out-dated phrasing (such as that used in relation to fairer funding) used within the Chief Constable's AGS. A governance structure chart be provided to support paragraph (4.4) of the Chief Constable's AGS. Consideration be given to re-writing the section between paragraphs (6.9) to (6.17) in the Chief Constable's AGS to make it more succinct. The wording used in paragraph (7.3)	FCFO FCFO FCFO CFO/FCFO	All of the points raised on the Chief Constable's AGS will be considered in the next iteration of the 2019/20 AGS.	01/06/2020

			of the Chief Constable's AGS and (6.2) of the PCC's AGS be reviewed to ensure that it reflects the current positive trajectory.		All of the points raised on the PCC's AGS will be considered in the next iteration of the 2019/20 AGS.	01/06/2020
			Consideration be given to re-writing paragraph (5.9) in the PCC's AGS to make it more succinct.	CFO		
			Members of the JIAC be advised of the dates, times and locations of future Public Assurance Meetings and provided with the link to the live recording.	RPO	Future dates, production schedule and method of broadcast finalised 27/05/2020 email to be dispatched to JIAC members confirming details during WC 1st June	27/05/2020
			Paragraph (5.15) of the PCC's AGS be divided into two separate paragraphs relating to the PCC's promotion of fairness/equality and the role of the Police and Crime Panel.	CFO		
			The abbreviations used in paragraph (5.19) (e.g. CoPaCC) be written out in full.	CFO		
			Wherever there is a risk with multiple relating paragraphs, those paragraphs will be sub-numbered.	CFO		
			Paragraph (6.6) be amended to include the view-point/justification of the Chief Constable in relation to the recruitment of the ACO (Resources).	CFO		
			Paragraph (6.16) of the PCC's AGS	CFO		

			be updated to reflect the current position. The word **forces'** be amended in paragraph (6.19) of the PCC's AGS to read **force's**. The final sentence of paragraph (6.7) of the PCC's AGS relating to the audit of benefits realisation in March 2018 be updated. Paragraph (6.17) of the PCC's AGS be updated to reflect the recent national review of specialist units.	CFO CFO CFO		
320	27/01/2020	61/19	Members receive a copy of the agreed improvement plan and receive updates on progress.	FCFO	An update is provided on the 10 June agenda, item 16. The item is also on the forward plan so will be on every meeting agenda until concluded.	01/06/2020
321	27/01/2020	62/19	The wording 'including the 2019/20 financial year' in paragraph (1.7) of the PCC accounting policies at Appendix 1 be removed. Paragraph (1.35.3) of the PCC accounting policies at Appendix 1 be amended to include reference to the Scheme Manager. Paragraph (1.35.5) of the PCC accounting policies at Appendix 1 be amended to include reference to it being a 'closed scheme'.	CFO/FCFO CFO/FCFO CFO/FCFO	All of the points raised on the Accounting Policies will be considered in the next iteration of the 2019/20 draft accounts.	01/06/2020

322	27/01/2020	63/19	The Committee be provided with a copy of the Force Performance Improvement Plan (PIP).	DCC	A PIP information pack will be provided to Members under separate cover.	02/06/2020
323	27/01/2020	65/19	The Procurement mid-year review report be presented to the Committee in October each year. The Balance Sheet Review report be presented to the Committee in the October and January meetings, with the outturn position included in the Financial Statements reviewed by the Committee in May. The Self-Assessment of the Committee be held on 28 April 2020 prior to the Committee's pre-meeting briefing.	DCFO DCFO DCFO	The forward plan has been updated accordingly. Complete. The forward plan has been updated accordingly. Complete. It is proposed this be postponed to 23 September 2020. Agenda item 20 of 10 June meeting refers.	27/05/2020
324	27/01/2020	67/19	Consideration be given to the potential vulnerability of Apps used by the Force/OPCC to securely transfer data.	DCC	An oral update will be provided by the DCC at the 10 June 2020 JIAC meeting.	02/06/2020

Key

DCC - Deputy Chief Constable
FCFO - Force Chief Finance Officer
FFO - Force Finance Officer
RPRO - Risk Policy Review Officer
ACO - Assistant Chief Officer
CFO - Chief Finance Officer
CAO - Corporate Administration Officer
RPO - Research and Performance Officer
CIM - Continuous Improvement Manager
DCFO - Deputy Chief Finance Officer
CCEA - Chief Constable's Executive Assistant
IA - Internal Auditor
EA - External Auditor