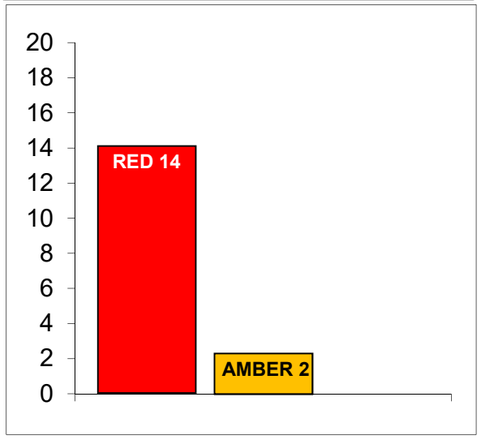
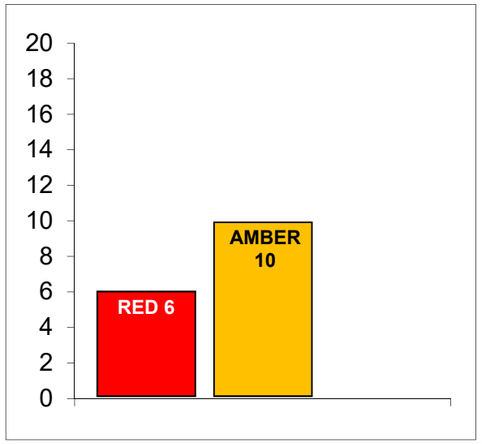
POLICE AND CRIME COMMISSIONER FOR LINCOLNSHIRE
RISK REGISTER - EXECUTIVE SUMMARY

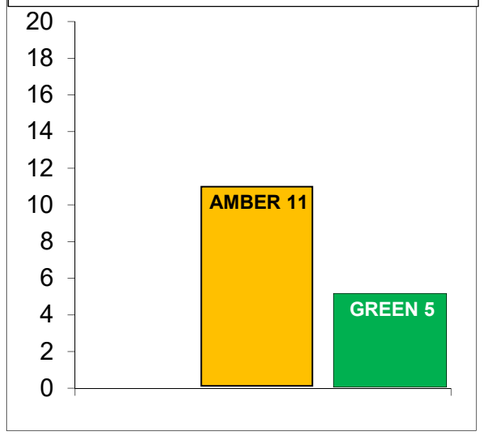
INHERENT EXPOSURE (Number of Risks)



CURRENT EXPOSURE (Number of Risks)



TARGET EXPOSURE (Number of Risks)



INHERENT EXPOSURE (Risk Distribution)

LIKELIHOOD				
4	A	A	R	R 6
3	G	A	A 1	R 7
2	G	A	A	A
1	G	G	G	A
	1	2	3	4
	IMPACT			

CURRENT EXPOSURE (Risk Distribution)

LIKELIHOOD				
4	A	A	R	R 2
3	G	A	A 2	R 4
2	G	A	A 3	A 3
1	G	G	G1	A 1
	1	2	3	4
	IMPACT			

TARGET EXPOSURE (Risk Distribution)

LIKELIHOOD				
4	A	A	R	R
3	G	A	A 1	R
2	G	A 1	A5	A 2
1	G	G	G 5	A 3
	1	2	3	4
	IMPACT			

ID	RISK DESCRIPTIONS	SPONSOR	Current Rating
1	Failure to Ensure that G4S objectives are delivered (with available resources)	PCC (CFO)	Amber
2	Lack of Capacity & Resilience in the Office of Police and Crime Commissioner	PCC (CE)	Amber
3	Failure to persuade Government of Lincolnshire's case for a fairer share of national funding, including lack of recognition of the rural costs in the formula; compounded by potential budget cuts presented by HM Treasury in next spending review period (2020– 2024)	PCC (CFO)	Red
4	Failure to achieve and demonstrate efficiencies, value for money (VfM) and optimum workforce productivity	PCC (CE)	Red
5	Failure to deliver objectives of Tri-service "Blue Light Programme".	PCC (CE)	Amber
6	Financial loss (default on investments, bad debt, major incident)	PCC (CFO)	Amber
7	"Resilience & Instability in Force Chief Officer team (Recent significant changes in Chief Officer team)	PCC (CE)	Amber
8	Lack of effective service commissioning capacity (limited OPCC capacity / capability to deliver full service)	PCC (CE)	Amber
9	Ineffective relationships with Local Partners (Inc LCC, District Councils, Health services, Fire/ Ambulance)	PCC (CE)	Amber

ID	RISK DESCRIPTIONS	SPONSOR	Current Rating
10	Failure to plan for effective transition from current Commercial Contract arrangements.(Contract ends in 2021/22)	PCC (CE)	Red
11	Failure to maintain or develop beneficial regional collaborations. (Following significant changes in regional leadership landscape - PCCs and Chief Officers)	PCC (CE)	Red
12	Failure of : the Force to deliver operational effectiveness	PCC (CE)	Red
13	Failure to: obtain satisfactory VfM from the disposal of 'West Parade' Police Station (Lincoln City)	PCC (CE)	Amber
14	Failure tp adequately prepare for the end of the Transition period following Britains: exit from the European union.	PCC (CE)	Amber
15	Failure to: adequately prepare for PCC Elections in May 2021	PCC (CE)	Green
16	Failure of the Force : to prepare appropriate strategic & operational delivery plans for all key organisational functions.	PCC (CE)	Red

RISK REGISTER
POLICE & CRIME COMISSIONER FOR LINCOLNSHIRE

OBJECTIVES
A. INTERNAL
B. FORCE ORGANISATION/TRANSFORMATION
C. EXTERNAL DELIVERY
D. COLLABORATION

NOT PROTECTIVELY MARKED

ID	Unique Ref No.	Linked to Objective	Description of Risk: Source (Lack of… Failure to…)	Description of Risk: Consequences (Results in … Leads to …)	Risk Sponsor	Inherent Risk Score 1:Low 4:High: Likelihood	Inherent Risk Score 1:Low 4:High: Impact	Overall Inherent Risk Score	Existing Controls	Owner (the do-er)	Current Risk Score 1:Low 4:High: Likelihood	Current Risk Score 1:Low 4:High: Impact	Current Risk Score	New/Developing Controls (Inc any Dates)	Owner (responsible for controls)	Target Risk Score 1:Low 4:High: Likelihood	Target Risk Score 1:Low 4:High: Impact	Overall Target Risk Score	Review Date
1	C09	A B C	Failure to ensure that **G4S objectives** are delivered (with available resources)	• Policing and crime objectives not achieved • Public confidence in PCC, force and criminal justice partners is undermined. • Ineffective allocation of funding and issuing of crime and disorder reduction grants • poor use of resources • Negative media and reputational consequences regarding the public's trust and confidence in policing.	CFO	4	4	R 16	• Ongoing OPCC attendance at Performance and Delivery (P&D) Board - oversight of risks. • Contract refresh (performance / financial model). CPT Manager to devise planning timeline and planning assumptions (reduce contract by £2M/annum by Sept 2015 and deliver further savings by April 2016), • G4S 10 year contract (SDA) in place, inc Governance framework, Commercial Partnership team (CPT) i to monitor performance and delivery of contract • Risk management - CPT Risk register reviewed via P&D Board - escalation process in place if any serious risks are identified • Monthly Transactional Management board in place • Performance management of contract/SKPIs/KPIs/PIs/MIs • Internal Audit Programme • G4S Programme Director in place.	CPT & CFO & CE	2	4	A 8	• G4S Dialogue - ongoing • Review of contract underway – decision regarding renewal anticipated- mid 2020	CE & CFO	2	3	A 6	OPCC Management Meeting

2	C06	A B C D	**Lack of Capacity & Resilience** in the Office of Police and Crime Commissioner	• Instability, lack of leadership in short term and need to invoke contingency plans (through Police and Crime Panel) • Inability to achieve objectives stated i• Capacity and capability related performance issues. n Police and Crime Plan • Individual and team is vulnerable • Negative media coverage / reputational consequences (trust and confidence in PCC undermined)	PCC (CE)	**3**	**4**	**R 12**	• OPCC Policies and procedures Inc continuity plan. • Scheme of arrangements (CE) • Police and Crime Panel in place with procedures as required. • Guidance on the occurrence of a vacancy/absence, incapacity or suspension in OPCC • DCFO appointed April 2015 • Contract in place for Comms and Media support services to PCC - Dec 2016 – • 2 Interns recruited annually in June - start date Sept / Oct (recurring) • Collaborative work with Partners on Mental Health Strategy - (LPFT). • Full time CFO appointed to the force releasing some DCFO capacity for OPCC. • Use of external contractors eg creation of Victims Strategy by 'Inspiration' consultancy • EM Regional PCC's secretariat established – (Jan 2018) • Partnerships and Delivery Manager & Officer roles established - Jan & March 2018). • Communications Officer (Victims services) – commenced October 2018. • Service Delivery Manager (Victims services) – commenced October 2018. • OPCC Admin Apprentice recruited on 18 month cycle (Jan 2020) • Review of complaints (Appeals) outsourced to specialist agency (Dec 2019). •	CE	**2**	**4**	**A 8** ⟷	• Strategic manager and project manager seconded from LPFT (NHS) – Partnership approach to deliver of a programme of work including HIN and M health strategy implementation - from April 2019. • Business case created for revised community engagement model – agreed with COG to proceed (Feb 2020)	CE	**2**	**3**	**A 6**	OPCC Management Meeting
3	CO1	A B C D	**Failure to persuade Government of Lincolnshire's case for a fairer share of national funding**, including lack of recognition of the rural costs in the formula; compounded by potential budget cuts presented by HM Treasury in next spending review period (2020– 2024)	• increased pressure on local council tax precept • And/or resulting in the PCC's inability to provide sufficient resources to deliver services.	PCC (CFO)	**4**	**4**	**R 16**	• Policing Minister visit, HS visit, civil servants visits • CC report 'Structure of Police Finance' - informing the debate (July 2015) • Representation at HO events • Respond to consultations (government reviews of formula). • 2016 HMIC PEEL Efficiency report recognises Lincolnshire's funding / efficiency situation. • Policing Minister Visit to Force (Sept 2017) • HO Special grant £1.8 M awarded (2019)	CC/ CFO CE	**4**	**4**	**R 16** ⟷	• OPCC / Force continue to lobby government / HO at all available opportunities.	CFO	**2**	**4**	A 8	OPCC Management Meeting

4	CO2	A B C D	Failure to achieve and demonstrate **efficiencies, value for money** (VfM) and optimum workforce **productivity**	leading to: • PCC's inability to provide sufficient resources to deliver policing services • Negative reputational consequences through media coverage. • deterioration in performance • poor use of resources • Effectiveness and efficiency of policing in Lincolnshire is reduced or not improved.	PCC (CE)	**4**	**4**	**R 16**	• Savings Tracker/Resources Gov Meeting • VfM Strategy • HMIC - PEEL EFFICIENCY Nov 2017 inspection grade = "requires improvement". • Internal audit plan in place • Robust procurement process / arrangements in place • VfM / Efficiency plan 2017/18 - in place Feb 2017. • KPMG Audit includes VfM conclusion / opinion. • Benefits realisation strategy in place April 2017 • Force Change Board established – inc remit tor benefits realisation / management. • Force engaged with CIPFA benchmarking programme. •	CE & CFO	**4**	**4**	**R 16** ⟷	• Cipfa review - action plan under construction (Oct 2019) • Anticipated external auditors opinion (delayed by 9 months – April 2020)	CFO & DCC	**2**	**4**	**A 8**	OPCC Management Meeting
5	C036	A B C D	Failure to deliver objectives of Tri-service **"Blue Light Programme".**	Leading to • Negative reputational consequences • Negative impact on Tri-service relationship • Negative impact on delivery of Police, Fire & Rescue and Ambulance services .	PCC (CE)	**4**	**4**	**R 16**	• Formal project management methodology applied (Prince II) • Governance structure (monitoring & oversight) Inc Finance / grant structure. • Full Business Case • Heads of terms agreement • Collaboration agreement • PCC regular 1-2-1 meetings with BL programme director – appointed May 2018. • Tri-service governance structure - (Steering Group / Programme Board,/ Project Group) • Links between Tri-service partners and key stakeholders • Organisational decision making processes • Programme risk register / management • Programme Steering group – held monthly. • New project manager in place (June 2018)	CE/ CFO FCFO PCC	**2**	**4**	**A 8** ⟷	• Discussions between Tri-service agencies to look at potential for closer operational collaboration - including use of estate assets – ongoing. • Agreed to share a control room – ie co-located (Nov 2019) • Blue light Steering Committee - Workshop on further collaboration opportunities to be re-scheduled (April 2020).	CE / CFO & FCFO & COG	**1**	**4**	**A 4**	OPCC Management Meeting
6	CO33	A B C D	**Financial loss** (default on investments, bad debt,)	Leading to • Negative impact on service delivery • Reduced spend and inability to respond to operational demands • Negative reputational consequences	PCC (CFO)	**2**	**4**	**A 8**	• Reserves policy (reduced facility to use reserves to mitigate any risk of financial loss 2018/19) • Treasury Management strategy • Internal Audit / review 'Cash, Banking and Treasury Management' (March 2018 – green satisfactory assurance) • Financial governance reporting to JIAC inc TM • Treasury management plan - part of Financial Strategy. • Audit of online banking / investment setup - Conclusion - Significant assurance (July 2017)	CFO RPO	**1**	**4**	**A 4** ⟷		CC	**1**	**4**	**A 4**	OPCC Management Meeting
7	C037	A B C D	**Resilience & Instability in Force Chief Officer team** *(Recent significant changes in Chief Officer team)*	• Ineffective leadership - in short term • Inability to achieve operational & strategic objectives • Capacity and capability related performance issues. • Trust and confidence is undermined - (internal & external) • Deterioration in relationship with strategic partners / stakeholders - (Commercial partner (G4s) / statutory partners) • Deterioration in strategic / governance relationship with OPCC. • Lack of clarity around strategic Objectives • Lack of force vision • Sub-optimal use of resources	CE	**4**	**4**	**R 16**	• Guidance on the occurrence of a vacancy/absence, incapacity or suspension of Chief Officers. • CC Scheme of delegation • Contingency planning / Business continuity plans. • Governance structure • New Chief Constable appointed – February 2017 • New ACO Resources appointed - 19 May 2017.(2 Year Contract) – extended in 2019 – permanent from Dec 2019 • Permanent ACC appointed June 2018 • Restructure of roles and responsibilities conducted Feb 2019 (Senior officers and staff). • New DCC appointed May 2019 (commencing June 2019)	CC	**2**	**4**	**A 8** ⟷		CC	**2**	**4**	**A 8**	OPCC Management Meeting

8	CO38	A B C D	**Lack of effective service commissioning capacity at peak times of demand in the commissioning lifecycle**	• Ineffective leadership - in short term • Inability to achieve operational & strategic objectives • Capacity and capability related performance issues. • Trust and confidence is undermined - (internal & external) • Deterioration in relationship with strategic partners / stakeholders - (Commercial partner (G4s) / statutory partners) • Deterioration in strategic / governance relationship with OPCC. • Lack of clarity around strategic Objectives • Lack of force vision • Sub-optimal use of resources	CE	**3**	**4**	**R 12**	• Current governance structure • Current management structure • Outsourcing elements of commissioning cycle to specialist external providers - (e.g. Victims Strategy April 2017). • EM Regional PCC's secretariat established – (Jan 2018) • Partnerships and Delivery Manager & Officer roles established in Jan & March 2018). • New victims service launched March 2019 • Senior manager and project manager seconded from LPFT – support for delivery of a programme of work inc M Health initiatives • Provision for external support at peak times of demand. • Internal contract management Dbase established in OPCC.	CE/ CC	**1**	**3**	**G 3**	• Procurement timelines and planning process under review by OPCC Commissioning manager (Oct 2019) – (ongoing April 2020)	CE	**1**	**3**	**G 3**	OPCC Management Meeting
9	C039	B C D	**Ineffective relationships with Local Partners** *(Inc LCC, District Councils, Health services, Fire/ Ambulance)*	• Delayed or protracted decision making • Inability to progress community safety priorities • Disengagement and inability to deliver joint objectives and outcomes for the public • Trust and confidence is undermined - (internal & external) • Lack of strategic alignment • inability to effectively manage interdependencies • Sub-optimal use of resources	CE	**3**	**3**	**A 9**	• Existing Governance structure • Existing relationships. • Collaborative agreements in place (e.g. Blue light programme) • PCC Vice chair of SLP • Partnerships and Delivery Support Officer in place from 2 Jan 2018. • Partnerships and Delivery Manager role in place from March 2018.). • Safer Lincolnshire Partnership (SLP) – increased representation at SLP meetings by OPCC (All CPGs attended). • New Victims Strategy in place Jan 2018 • Community Safety, Policing and Criminal Justice Plan 2017-2021 • Police & Crime Plan delivery - Monitoring report to P& C Panel provided quarterly. • SLP reports to P&C Panel quarterly (partnership activity / status). • OPCC Chief Exec to chair LRSP from March 2019 • Significant assurance rating achieved in LRSP follow-up internal audit (March 2019) • Community Safety, Policing and Criminal Justice Plan 2017-2021.- mid-term Refresh / update early 2019. • Prevention, Protection and Partnership Board (PPPB) – force / LCC and OPCC membership.(Nov 2019) • Shared setting of priorities for Assistant Director of Safer Communities.(seconded officer role – December 2019) •	PCC / CE	**2**	**3**	**A 6**	• Review of MOU with Police & Crime Panel underway from Dec 2018 – ongoing (Feb 2020). • Refreshed victims strategy- publication planned for Wc – 25th May 2020	PCC & CE	**1**	**3**	**G 3**	OPCC Management Meeting

10	C040	B C D	**Failure to:** **plan for and deliver effective transition from current Commercial Contract arrangements.** *(Contract ends in 2021/22)*	• Deterioration of relationship with partners & key stakeholders • Inability to achieve operational & strategic objectives • ineffective and inefficient service delivery model • Trust and confidence is undermined - (internal & external) • Deterioration in strategic / governance relationship with OPCC. • Lack of clarity around strategic Objectives • Negative financial impact (increased and consequential costs) • Sub-optimal use of resources	CE	**3**	**4**	**R 12**	• Contractual review arrangements in place • Governance structure / processes • Relationship with commercial partner • Planning underway to ensure appropriate resources allocated to the review process. • Discussions ongoing regarding ownership of contract. • Joint project initiated to develop clear programme of work & 'roadmap'. • Detailed project plan in place to enable commencement of next stage plan by October 2020. •	CE / CC	**3**	4	**R 12** ⟷	• Development of an 'alternative model underway to form the basis of a comparator to establish VFM (Jan 2020). • Contract Proposal / consideration - timeline agreed (April 2020)	CE CFO	**2**	**3**	**A 6**	OPCC Management Meeting
11	C041	A B C D	**Failure to:** **maintain or develop beneficial regional collaborations.** (Following significant changes in regional leadership landscape - PCCs and Chief Officers)	• Ineffective relationships with regional forces • Inability to maintain resilience of operational services including Strategic Policing Requirement (SPR) • Negative financial implications - reduced savings / increased costs. • Trust and confidence is undermined - (internal & external) • Diminished capability to meet operational demand. • Negative media coverage - reputational damage. • Lack of clarity around strategic Objectives • Lack of force vision • Sub-optimal use of resources	CC	**4**	**4**	**R 16**	• Regional governance structure. • Section 22 Agreements' in place • Internal Audit reporting to include regional audits (reporting to JIAC from July 27 2017 • Creation of Regional Secretariat (for PCCs) 3 new roles including Regional Collaboration Manager, Analyst, and Meetings administrator – established January to March 2018. • New - replacement regional collaboration manager appointed May 2019.	PCC / CE	**4**	**4**	**R 16** ⟷	• Ongoing discussions regarding regional budget. • Regional DCC led review of collaboration arrangements concluded (Nov 2019) ○ Review Recommendations agreed in part by PCC and CC regional board.(Dec 2019) • Developing national context may overtake regional discussions – watching brief (Dec 2019). • Discussions underway regarding a further independent review – (Jan 2020)	CE PCC CFO	**2**	**3**	**A 6**	OPCC Management Meeting
12	C044	ABCD	**Failure of:** **The force to deliver operational effectiveness.**	• Rising trend of recorded crime in Lincolnshire. • Declining trend of "positive outcomes" • Declining trend in public satisfaction with the Force • Lack of confidence in Lincolnshire police • Reputational damage – linked to public perception of "high level of crime / poor outcomes / responsiveness of the force".	**CE**	**4**	**4**	**R 16**	• Governance Framework (Force and OPCC / Police and Crime Panel) • Force strategic plan – "Distinctively Lincolnshire" • Force Performance management processes (tactical tasking) • Performance specific monitoring / analysis & reporting processes (Force / OPCC) • Current Police and Crime Plan • Partnership working • Regional Collaborative service delivery • ARC programme extended to enhance reduction in re-offending. • Strategic planning framework — planning dates set. • Performance improvement plan in place (Feb 2020) • Government uplift in police officer numbers – Lincs initial apportionment 50 officers (20K officers nationally). •	**CC/ PCC/ CE**	**3**	**4**	**R 12** ⟷	• Mapping of Police and crime plan objectives to force operational delivery plan - awaiting finalised force delivery plans. • Violence 'Gold Group' established by force Dec 2019 – OPCC to attend from Jan 2020. • PCC and CC to meet monthly to assess progress (Jan 2020). • New force strategies & initiatives in development for Violence / roads policing / place based approach (Feb 2020)	CC / PCC / CE	**2**	**3**	**A 6**	OPCC Management Meeting

13	C045	ABCD	**Failure to:** Obtain satisfactory VfM from **disposal of 'West Parade'** Police Station (Lincoln City)	• Reputational Damage • Negative media coverage • Negative financial implications - Organisation left with "White elephant" • Stakeholder scrutiny / investigation • Community concerns	**CE**	**3**	**4**	**R 12**	• Governance structure • Decision making process • Specific Project group in place • Engagement with key stakeholders	**PCC/ CE**	**2**	**3**	**A 6**	• Discussions underway with "Investors in Lincoln". • Meeting scheduled for 18th Dec 2019 to hear proposals for the west parade site. • Outline concept for future use of building discussed – further work underway (Jan 2020)	PCC	**2**	**3**	**A 6**	OPCC Management Meeting
14	C050	ABCD	**Failure to:** adequately prepare for the end of the Transition period following Britains: exit from the European union. (Transition period ends *January 1 2021)*	• Reputational damage • Negative media coverage • Negative financial implications • Failure to achieve value for money • Disruption of service • Negative implications for retaining / recruiting • Negative impact on procurement processes.		**3**	**4**	**R 12**	• Governance framework – including decision making process / financial considerations • financial regulations • Involvement with Lincolnshire Resilience Forum • Formal transition period (withdrawal process / period / negotiations) • Home Office Guidance •	**PCC/ CE**	**2**	**3**	**A 6**	• Links to national preparedness activity – regional Strategic Co-ordination Groups (Feb 2020) • Force Gold Group – OPCC attending (from March 2020)		**1**	**3**	**G 3**	OPCC management Meeting
15	C049	ABCD	**Failure to**: adequately prepare for PCC Elections in May 2021 (NB: elections planned for May 2020 were cancelled due to Covid-19 pandemic.)	• Reputational damage • Negative media coverage • Negative financial implications inc Inappropriate use of public funds (electioneering) • Breach of legislation • Undermine public confidence in the election process. • Increased external scrutiny • Public trust and confidence in policing undermined. • Legal challenge regarding proper election process / outcome.	**CE**	**3**	**4**	**R 12**	• Purdah Guidance – national (2016) • Joint protocol in place (force / OPCC) – inc local purdah and election guidance for staff / officers. • Role of chief executive as Monitoring Officer • Outline administrative plan and preparation process existing from previous PCC elections. •		**1**	**3**	**G 3**	• Emergency legislation being developed by HO - for extension of current PCC period in office & implications plus shorter 3 year term for next elected PCC.(March 2020). • Electoral Commission – consultation of PCCs on inclusion into the 'candidate code' – specifically expenses. (May 2020).		**1**	**3**	**G 3**	OPCC management Meeting
16	C052	ABCD	**Failure of the Force :** to prepare appropriate strategic & operational delivery plans for all key organisational functions including: ICT, Fleet and Estates.	• Lack of strategic focus / clear corporate priorities • Inability to accurately measure corporate progress • Sub optimal setting of priorities & delivery of objectives • Inefficient use of resources • Ineffective forward planning • Ineffective organisational development • Sub optimal delivery of service	**CE**	**4**	**4**	**R 16**	• Existing governance structures • Existing Strategic Plan (DL) • Existing delivery plans • FMS (and process) • Budget setting process • Financial Plan & controls • MTFP • Decision making processes		**3**	**4**	**R 12**	• Newly introduced corporate planning process / cycle - (Jan 2020 ongoing). • Refresh of existing strategic plan / objectives (DL) – underway (Jan 2020) • Use of corporate SWOT analysis - ongoing (Feb 2020). • Governance review underway (April 2020) • Production timeline for FMS extended – due for completion in October 2020 (May 2020).		**1**	**4**	**G 4**	OPCC management Meeting

SCORING MATRIX & DIRECTION OF TRAVEL

		Impact			
Likelihood		**Low 1**	**Medium 2**	**High 3**	**Very High 4**
Very High	**4**	**AMBER 4**	**AMBER 8**	**RED 12**	**RED 16**
High	**3**	**GREEN 3**	**AMBER 6**	**AMBER 9**	**RED 12**
Medium	**2**	**GREEN 2**	**AMBER 4**	**AMBER 6**	**AMBER 8**
Low	**1**	**GREEN 1**	**GREEN 2**	**GREEN 3**	**AMBER 4**

Risk Appetite is defined by thick black line between Amber and Red risk scores

LIKELIHOOD

	Score	Description
Very High	**4**	More than a 75% chance of occurrence.
High	**3**	More than a 50% chance of occurrence.
Medium	**2**	More than a 25% chance of occurrence.
Low	**1**	Less than a 25% chance of occurrence.

IMPACT

	Score	Performance/ Service Delivery	Finance /Efficiency £	Confidence/ Reputation	Health & Safety	Environment	Strategic Direction
Very High	4	Major disruption to service delivery Major impact on performance indicators noticeable by stakeholders	Overall >1,000,000 Business Area >150,000	Major stakeholder/investigations /longer lasting community concerns Major reputational damage adverse national media coverage	Death or a life changing injury	Very high negative environmental impact (high amount of natural resources used, pollution produced, biodiversity affected)	Major impact on the ability to fulfil strategic objective
High	3	Serious disruption to service delivery Serious impact on performance indicators noticeable by stakeholders	Overall 251,000-1,000,000 Business Area 41,000-150,000	Serious stakeholder/investigations /prolonged specific section of community concerns Serious reputational damage adverse national media coverage	An injury requiring over 24-hours hospitalisation and /or more than 3 days off work or a major injury as defined by the RIDDOR[10] Regs	High negative environmental impact (medium amount of natural resources used, pollution produced, biodiversity affected)	Serious impact on the ability to fulfil strategic objective
Medium	2	Significant disruption to service delivery Noticeable impact on performance indictors	Overall 51,000-250,000 Business Area 11,000-40,000	Significant investigations/specific section of community concerns Significant reputational damage adverse local media coverage	An injury requiring hospital/professional m edical attention and/or between one day and three days off work with full recovery	Medium negative environmental impact (low amount of natural resources used, pollution produced, biodiversity affected)	Significant impact on the ability to fulfil strategic objective
Low	1	Minor disruption to service delivery Minor impact on performance indictors	Overall <50,000 Business Area <10,000	Complaints from individuals Minor impact on a specific section of the community	An injury involving no treatment or minor first aid with no time off work	Low negative environmental impact (limited amount of natural resources used, pollution produced, biodiversity affected)	Minor impact on the ability to fulfil strategic objective

Direction of Travel

(Overall judgement based on the controls in place/planned)

⇩ Risk reducing ⇔ No change/stable ⇧ Risk increasing

POLICE AND CRIME COMMISSIONER FOR LINCOLNSHIRE

RISK REGISTER: CHANGE LOG (Only Showing changes made since 06/01/2020)

No	Date	Author	Risk URN	Item	Changes	Comments
7.41	14/01/2020	RPO	C040	G4S contract transition	• Transfer all 4 developing controls into existing controls. Add new developing control dated Jan 2020	Following IMM – 6th Jan 2020
7.41	14/01/2020	RPO	C048	Change to complaints legislation	Add to existing controls from developing controls – regarding option paper to support PCC decision for selection of operating Model.	Routine update.
7.42	26 Feb 2020	RPO	C039	Local Partnerships	Transfer developing controls to existing for – existence of PPPB. add date to developing controls for victims strategy refresh	Following IMM 26 Feb 2020
7.42	26 Feb 2020	RPO	C037	Chief officer team	Addto existing controls - permanent contract awarded to ACC (Dec 2019)	Following IMM 26 Feb 2020
7.42	26 Feb 2020	RPO	C049	PCC elections.	Add selection of force and OPCC SPOCS for election related information – to existing controls.	Following IMM 26 Feb 2020
7.42	27 Feb 2020	RPO	C048	Police complaints legislation	**Remove risk from register** – change s to legislation implemented nationally on 1st Feb 2020.	Following IMM 26 Feb 2020
7.42	27 Feb 2020	RPO	C047	Preparing for Brexit	**Remove risk from register** – Britain left the EU on 31 Jan 2020	Following IMM 26 Feb 2020
7.42	27 Feb 2020	RPO	C050	issues arising from Brexit transition period.	**ADD New Risk to the register –** prepare for end of formal Brexit transition period	Following IMM 26 Feb 2020
7.42	27 Feb 2020	RPO	C051	Failure to prepare adequately for coronavirus	**ADD New Risk to the register –** potential impact of coronavirus on the functions of the OPCC.	Following IMM 26 Feb 2020
7.42	27 Feb 2020	RPO	C049	Prepare for PCC elections 2020	• Transfer developing controls into existing controls – ie Advice for officers and staff / Internal comms plan.. Reduce risk score from 2x3 to 1x3 which means this risk has reached its target score.	Following IMM 26 Feb 2020
7.43	18 March 2020	RPO	C051	Failure to prepare adequately for covid19	Update controls - both existing and developing	Routine update
7.42	18 March 2020	RPO	C049	Prepare for PCC elections 2020	Update developing controls - Elections postponed until May 2021 Emergency legislation being drafted to deal with implications of PCC extended period in office.	Routine update
7.44	2 April 2020	RPO	C051	Failure to prepare adequately for	**REMOVE RISK FROM THE REGISTER** – this has now become an issue that the	Following IMM on 24th March 2020

POLICE AND CRIME COMMISSIONER FOR LINCOLNSHIRE

RISK REGISTER: CHANGE LOG (Only Showing changes made since 06/01/2020)

No	Date	Author	Risk URN	Item	Changes	Comments
				covid19	force and OPCC are currently managing.	
7.45	4 May 2020	RPO	C052	Force: failure to develop strategic / operational plans.	**ADD NEW RISK –** Failure of the Force to prepare appropriate strategic & operational delivery plans for all key organisational functions.	Following IMM on 22 April 2020
7.45	4 May 2020	RPO	C038	OPCC lack of service commissioning capacity at peak times	Amend risk score from amber 6 to green 3 – achieved target score and has remained stable - no further controls currently planned for introduction.	Following IMM on 22 April 2020
7.45	4 May 2020	RPO	C036	Delivery of blue light objectives	Add planned steering group 'workshop' to developing controls.	Following IMM on 22 April 2020
7.45	4 May 2020	RPO	C06	Resilience in OPCC	Developing control moved into existing controls – 'Complaints review process outsourced to specialist external agency'.	Following IMM on 22 April 2020
7.45	4 May 2020	RPO	C049	OPCC planning for PCC elections	• Revise wording of risk as date for elections changed to May 2021 • Update existing controls and developing controls according to change of date for elections and current circumstances. Add to developing controls: Electoral Commission – consultation of PCCs on inclusion into the 'candidate code' (expenses).	Following IMM on 22 April 2020
7.46	19 May 2020	RPO	C052	Force: failure to develop strategic / operational plans.	• Add MTFP to existing controls. • Amend scoring of risk from amber 8 to red 12 & Reword risk to include specific plans	Following IMM 19 May 2020