

## **JOINT ASSURANCE REVIEW MEETING held 21 June 2021 – KEY DISCUSSION POINTS**

1. Progress update –
  - 1.1. All previous actions noted in update reports had been completed
  - 1.2. 6 maps were now available for scoring (with the crime version awaiting an update) and all would subsequently become live (and be reported to JIAC in Sept 2021 as such)
2. Discussion points
  - 2.1. RPO – Suggested that future JAR meetings should be supported by an agenda, action log and notes on key discussion points. (Agreed).
  - 2.2. Current overall RAG ratings across 6 of the 7 completed maps were agreed by the OPCC and Force, this making the Assurance map a 'live' document. (RPO is to update the Master copies of the individual maps following the JAR meeting)
    - 2.2.1. Ratings agreed as – FCR – AMBER, SP Ops -AMBER, LP East and West – AMBER, PSD – GREEN, Custody-GREEN.
  - 2.3. The Crime assurance map was still with D/Ch/Supt A Cox and was due to be updated within the next week. (it would be circulated by the RPO and scored offline by attendees at the JAR)
  - 2.4. It was also agreed that the RAG rating assessments of the leads for each area of responsibility should be sought so that their views could be compared to those agreed by the JAR meeting. Feedback of these scores and rationale for them would be provided.
  - 2.5. DCC - How the RAG ratings are arrived at was an important area for agreement as it would be easy to score all areas as always being 'AMBER' due to the continual amount of change underway and the attendant risks for the operational policing areas. It was therefore important to consider the governance, risk, and control environment being above that or below that normally expected for the area of business being assessed.
  - 2.6. RPO – reiterated the scoring was not to be done on a formulaic basis eg two green and one amber equals a green overall RAG rating. It was a professional judgement based on the overall 'confidence' in the governance and controls in place and are they proportionate to the risks posed. And that at a later stage of developing the Assurance map the overall scale for the RAG ratings could be adjusted to take account of differentiating between levels of 'Amber' to avoid all areas showing amber.
  - 2.7. At what point would it be appropriate to commence assessment of the support functions / other sections of the Assurance Map.
  - 2.8. The DCC anticipated the entire map (All sections) to be completed no later than September 2022 – although he realised that some sections would be more difficult to complete than others, not least as a result of the FSP / transition process.
    - 2.8.1. The CFO asked that the introduction of the Assurance Map should be raised with the FSP to establish the best time for the mapping to take place.
  - 2.9. Key questions when reviewing the map for each function should be – what would need to change to improve the current RAG rating, what extra assurance would need to be available? ie what is missing?
  - 2.10. The CEX asked how the Assurance map ratings would be cross referenced with the current force level risks – to ensure emerging risks and current controls were reflected in both documents. (RPO confirmed the Assurance map dashboard would make an appearance at the force risk management to aid discussion of interlinked issues / risks.
  - 2.11. The DCC suggested that the second half of the next JAR meeting in September be given over to discussions with the operational leads about use of the assurance map and

current ratings – this would primarily include the DCC / RPO / FRRPO – precise agenda to be agreed closer to the time of the meeting.

3. Next steps

- 3.1. Follow -up on actions from the meeting
- 3.2. Report to JIAC in September on current status of development – as per agreed plan.
- 3.3. Consolidate use and updating of the live master documents.