

JOINT INDEPENDENT AUDIT COMMITTEE
11th November 2021

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SUBJECT		POLICE AND CRIME COMMISSIONER AND CHIEF CONSTABLE’S ASSURANCE MAP – DASHBOARD
REPORT BY	CHIEF EXECUTIVE & CHIEF FINANCE OFFICER (CFO) CHIEF CONSTABLE & FORCE CHIEF FINANCE OFFICER (FCFO)	
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SUMMARY AND PURPOSE OF REPORT		
To review the Police and Crime Commissioner and Chief Constable’s Joint Assurance Map Dashboard and progress on its development & implementation.		
RECOMMENDATION	That the Committee review the Joint Assurance Map.	

A. SUPPORTING INFORMATION

1. On the 21st June and the 22nd September 2021 Joint Assessment Review (JAR) meetings took place between the OPCC (CEX, CFO, RPO) and the Force (DCC, FCFO, RPRO) to discuss progress with populating the new assurance map template, RAG rating its contents and the next steps in its development. The key discussion points from these meetings are **attached at Appendix A and B** to this report. In the September meeting the second hour was given over to a discussion between the DCC, RPO, FRPRO and the operational leads for each section of the Assurance map. The purpose being to re-iterate the importance of the Map and to receive feedback from the leads on their experiences of implementing their maps.
2. The current 'dashboard' section of the Assurance Map including the moderated and agreed RAG ratings for each completed section of the MAP is **attached at Appendix C** to this report.
3. Any changes made to the dashboard section of the Assurance Map are recorded in its change log (including any changes to the overall RAG ratings).
4. All of the objectives for the development of the map (outlined in the previous report to JIAC in April 2021) have been successfully completed.
5. The next key stage in the development of the assurance map is the inclusion of the support functions. Discussions are underway to determine the most effective time to introduce the new assurance map, bearing in mind the ongoing work of the Future Services Programme and the transition away from the G4S contract.

B. FINANCIAL CONSIDERATIONS

There are resource implications related to some of the mitigating controls. These are managed by the Chief Executive, Chief Finance Officer and Force Chief Officer Group.

C. LEGAL AND HUMAN RIGHTS CONSIDERATIONS

Any relevant legal and human rights related risks are included in the PCC/CC's risk register.

D. PERSONNEL, EQUAL OPPORTUNITIES AND DIVERSITY ISSUES (including any impact or issues relating to Children and Young People.)

Any relevant personnel, equal opportunities and diversity related risks are included in the PCC/CC's risk register.

E. REVIEW ARRANGEMENTS

The Risk Register and assurance map will be reviewed by the Joint Independent Audit Committee on a quarterly basis.

F. RISK MANAGEMENT

Risk management and assurance is an integral part of the Joint Independent Audit Committee's remit. It is reflected on the Forward Plan accordingly.

G. PUBLIC ACCESS TO INFORMATION

Information in this report along with any supporting material is subject to the Freedom of Information Act 2000 and other legislation.