JOINT INDEPENDENT AUDIT COMMITTEE

SUMMARY ACTION LOG – 25 APRIL 2022

Ref	Meeting Date	Minute No	Resolution	Actioned by	Progress	Updated
364	28/04/2021	16/21	That the Committee's assurance in relation to the DAMS procurement exercise be contingent on the level of assurance derived by the External Auditor from information supplied by the Force.	DCFO	EY have not yet been able to confirm their assurance, therefore the Committee cannot derive any assurance. Work continues to bring the matter to a close. No further update. No further update.	01/11/2021 23/11/2021 06/04/2022
366	11/11/2021	26/21	That a draft of the updated Financial, Contract and Procurement Regulations be presented to the March 2022 meeting of the Committee.	CFO	A draft of the updated Financial, Contract and Procurement Regulations will be presented to the April 2022 meeting of the Committee. Item 10 on April meeting agenda.	24/02/2020 13/04/2022
372	11/11/2021	38/21	That the Committee receive a paper regarding the extent to which the PCC/Force comply with NAO guidance on cyber security.	CAO	CAO will liaise with the Force to ensure that a briefing paper is prepared for the April 2022 Committee meeting. Agreed approach with JIAC Chair that the paper will be circulated off-line to Members once drafted.	23/02/2022 13/04/2022
373	02/03/2022	48/21	That the outcome of the Internal Audit enquiry into a breach of G4S governance arrangements be reported to the Committee.	CFO	This is still work in progress. It will be reported to the Committee in due course.	13/04/2022

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374	02/03/2022	50/21	That Members be provided with a copy of the content of the on-line training package on Counter Fraud and Corruption.	RPO	Request made to L&D regarding training content in a format suitable for transmission to JIAC members.	13/04/2022
375	02/03/2022	51/21	That a copy of the 12 month evaluation report for the police constable dedicated to serving the University of Lincoln be presented to a future meeting of the Committee.	ACC Davison	A full evaluation would be conducted after 12 months. This action is therefore expected to be fulfilled by April 2023.	13/04/2022
376	02/03/2022	56/21	That a copy of a letter from the Chief Executive of the PSAA to the Chair of JIAC (approx 18 months ago) be circulated to members of the Committee.	DCFO	Provided to members via email 03/03/2022.	06/04/2022
377	02/03/2022	56/21	That the preferred option be to opt in to the PSAA as the appointing person for the appointment of an External Auditor from 2023/24.	DCFO	Noted, 'opt-in' completed. Update on progress is item 14 on April meeting agenda.	06/04/2022
378	02/03/2022	57/21	That a copy of the Action Plan drafted following the Finance Review be presented to the September 2022 meeting of the Committee.	FCFO	Noted, it is on the Forward Plan.	13/04/2022
379	02/03/2022	58/21	That the Committee be kept up-dated on any high level risks relating to the	DCFO	Noted.	06/04/2022

			production of the financial statements.			
380	02/03/2022	59/21	That Members be provided with further information 'off-line' about the expected carry forward of £4.6m of capital expenditure to 2022/23.	DCFO	Information emailed to Members 13/04/2022.	13/04/2022
381	02/03/2022	60/21	That a meeting be arranged between new members of the Committee and the RPO/RPRO to discuss the PCC and Force Risk Registers.	RPO/RPRO	RPO has invited JIAC members to a meeting – arrangements underway.	13/04/2022
382	02/03/2022	60/21	That Appendix A to the Force Risk Management Plan 2019-2022 be reviewed and updated where necessary.	RPRO	Oral update to be provided.	13/04/2022
383	02/03/2022	60/21	That the section entitled 'Strategic Partner' on page 12 of the Force Risk Management Plan 2019-2022 be removed post 1 April 2022.	RPRO	Oral update to be provided.	13/04/2022
384	02/03/2022	61/21	That consideration be given to reviewing the description and current risk score for PCC risk C054 (Failure to maintain a strong working relationship with the Police and Crime Panel).	RPO	Review conducted and contained in risk paper at 25th April JIAC – plus verbal explanation prepared.	13/04/2022

385	02/03/2022	65/21	That the commentary for the component parts of the Crime function within the Joint Assurance Map be reviewed/refreshed so that they reflect the overall 'Red' RAG rating.	RPO	Verbal update to be provided at JIAC 25th April. Plus Assurance papers for the meeting provide supporting context.	13/04/2022

Key

DCC - Deputy Chief Constable
FCFO - Force Chief Finance Officer
FFO - Force Finance Officer
RPRO - Risk Policy Review Officer
ACO - Assistant Chief Officer
CFO - Chief Finance Officer
CAO - Corporate Administration Officer
RPO - Research and Performance Officer
CIM - Continuous Improvement Manager
DCFO - Deputy Chief Finance Officer
CCEA - Chief Constable's Executive Assistant
IA - Internal Auditor
EA - External Auditor